



Call for Proposal on Partnership for Preventing the Riverine Plastic Pollution

UNDP and CSO Partnership under Performance-Based Modality

Terms of Reference

A. Background

Plastic pollution poses a severe threat to Indonesia's biodiversity, contaminating water, soil, and air with microplastics and physically disrupting habitats. Mismanaged plastic waste leads to animal fatalities through ingestion and entanglement, endangering vulnerable species. Indonesia ranks as the world's second-largest contributor to marine plastic waste, with an estimated 0.48 to 1.29 million tons annually (Jambeck, 2015). Key drivers include inadequate waste infrastructure, poor disposal practices, and riverine leakages, compounded by coastal tourism, aquaculture, and fishing activities (Cordova & Nurhati, 2019). Meijer (2021) highlights that countries with high rainfall, long coastlines, and weak waste management system became the largest contributors to ocean plastic via polluted rivers.

To address these challenges, the Indonesian government launched a national action plan supported by Presidential Regulation No. 83/2018, aiming to reduce marine plastic debris by 70% by 2025. As of 2023, a 41.68% reduction has been achieved, driven by improved waste infrastructure, recycling initiatives, public awareness campaigns, and stakeholder engagement. The plan also aligns with Indonesia's green economy ambitions, fostering micro, small, and medium enterprises in plastic waste processing and recycling to stimulate inclusive economic growth.

Recognizing the gravity of the global issue of marine debris and its detrimental effects on rivers and oceans, the Governments of Indonesia and the United Arab Emirates have partnered to reduce plastic waste leakage into the ocean. The Project on Partnership for Tackling Riverine Plastic Waste Pollution is funded by Clean Rivers (the Donor), in partnership with UNDP Indonesia, under the umbrella of Erth Zayed Philanthropies' advancing the UAE's commitment to environmental stewardship and community empowerment. The initiative's primary focus is on preventing waste leakages in rivers and marine waste collection, preventing it from reaching the oceans.

B. Objective

UNDP and CSO partnership under the performance-based modality is an integral component of the initiatives supporting the project implementation. Introduced in

January 2018, the performance-based aims to mobilize collaboration among stakeholders and community groups to advance integrated riverine plastic waste management within selected locations. Through this mechanism, the project encourages innovation, accountability, and measurable results in waste management practices.

- *Efficient River Debris Removal*

The primary objective is to collect and remove river debris from designated areas collaboratively and systematically, establishing a framework that can be replicated in other areas of the country based on the lessons learned from these demonstration sites.

The intervention seeks to strengthen the capacity of local actors in managing plastic waste effectively from the upstream to the downstream, promoting proper collection, processing, and recycling of plastic waste to reduce the amount disposed to landfills.

- *Promote the Awareness and Behavior Change to Prevent the Mismatched Plastic Waste from Entering the River*

The project implementation will engage local stakeholders and communities (including religious leaders, faith-based communities and women's groups) to encourage responsible practices in plastic waste disposal, such as the collection and processing of plastic waste at the sources (including the households). Increased awareness of how the mismatched plastic waste enters the river and leaks to ocean will foster better participation and mobilize collective actions from local stakeholders and the communities.

C. Target Sites

This project invites applicants to serve as implementing partners for targeted areas within the city. The CSOs will be selected based on their proposed project concepts and activity plans focusing on the identified river sites in Kabupaten Sidoarjo, East Java.

The project site is located along the **Pelayaran River in Sidoarjo, East Java**. The river stretches approximately 23,000 meters in length, with a width ranging from 10 to 15 meters, and flows downstream into the Kali Mas River in Surabaya. The surrounding area is relatively densely populated, contributing to a significant volume of waste entering the river system. Access to the river is supported by inspection roads on both sides, allowing sufficient space to identify and establish suitable operational points for waste collection and management activities.

The nearest waste management facility is the TPS3R Tawang Sari, which can support the sorting and processing of collected waste. Based on preliminary observations, waste

collected from this river segment could reach approximately 5–10 dump trucks per collection cycle. The open inspection road access also provides adequate space and logistical flexibility for transportation and operational activities.

The project will be implemented at two locations along the river:

1. Up-stream site, Sungai Pelayaran, Penambangan ([Google Maps](#))
2. Down-stream, Sungai Pelayaran, Ngelom ([Google Maps](#))

D. The Target Applicant and The Eligibility

Project calls the civil society (NGOs, think tanks, academics) to participate as applicants to ensure the implementation are led and driven collaboratively by local partners in the selected Project Site.

a. Eligible Applicant:

In order to be eligible to participate as Implementing Partner, the applicant must:

1. be a non-governmental and non-political and non-profit-making organization.
2. be established in one of the governorates targeted by the Partnership
3. Other organization/institutions may be involved in the action as the Associate. The associate plays role as partner but not receive the fund directly. Associates must be mentioned in the section of “*Implementation Approach*” within concept note and full proposal.

b. Ineligible Entities:

Applicant and/or entities may not participate in this Call for Proposals (CFP) or be awarded as the implementing partner by UNDP, if they are in any of the following situations:

1. Stated as bankrupt, subject to insolvency or winding-up procedures.
2. In guilty of grave professional misconduct.
3. Is guilty of any of the following: fraud, corruption, conduct related to a criminal organization, money laundering or terrorist financing, terrorist-related offences or offences linked to terrorist activities, child labour or other forms of trafficking in human beings.
4. Shown significant deficiencies in complying with main obligations in the performance of a previous contract, which has led to the early termination of a legal commitment or to the application of liquidated damages or other contractual penalties.
5. Committed an irregularity.
6. Created an entity under a different jurisdiction with the intent to circumvent fiscal, social or any other legal obligations of mandatory application.

By completing and signing the Annex 2. Cover Letter, Applicant declares that they and associate (if any) are not in any of these situations. If such cases are identified, the applicants will be excluded or agreement terminated.

c. Type of Activities:

1. Community organizing, facilitation, advocacy and meeting.
2. Equipment installation for river debris removal.
3. Equipment installation for waste sorting and recycling.
4. Operational expenses of installation and supporting wasteworker team.
5. Facility provision for waste collecting from communities.
6. Awareness raising, advocating and campaign, including youth participation.
7. Introduction of best practices and model for replication.
8. Promoting innovation and creativity.
9. Capacity building for community and local stakeholders.
10. Promoting citizen participation and controlling the littering behavior and mismanage practice in handling plastic waste.
11. Monitoring and implementation, including the relevant domestic travel.
12. Support the resource mobilization, or fundraising activity to sustain the community initiative.
13. Carrying research, comparative studies.
14. Development of Knowledge Product and Best Practices/Lessons Learn.
15. Project support.

d. Ineligible actions or activities:

1. Actions concerned only or mainly with individual sponsorships for participation in workshops, seminars, conferences and congresses.
2. Actions concerned only or mainly with research (e.g., studies, needs assessment, or similar) or one-off events (e.g., conferences, round tables, seminars, or similar), if not part of a wider action.
3. Actions concerned only or mainly with individual scholarships for studies or training courses.
4. Actions essentially focused only on construction activities, purchase of equipment, purchase and/or renovation of building or offices.
5. Actions aiming predominantly for profit making activities.
6. Actions already funded by other programmes and undertaken before the date of contract signature (*double counting, double reporting*).
7. International travel.

d. Eligibility of Cost to Cover the Performance Delivery:

1. **Direct costs.** As indicated in the Budget and Milestone, direct cost considered as performed budget per milestone that directly contribute to project KPI delivery.
 - Cost should be detailed as necessary to implement the relevant KPI.
 - Cost should be incurred during the implementation of the project activities.
 - Reasonable, justified and compliant with the required of sound financial management within the organization.
 - Compliant with annex of Performance Based payment terms in the Low-Value Performance Based Agreement.
2. **Indirect costs.** Incurred as the general administrative cost such as overhead, indirect costs will be limited on flat rate funding, not exceeding 3% of the total

project budget. Since the project modality is implemented with Performance-Based approach, indirect cost will be included in the last transfer of fund.

3. **Contribution in kind.** If considered necessary or appropriate, Implementing Partner may accept co-financing in kind in the form of volunteers' work, or contribution which normally borne for the respective work in the city-area. If Kind Contribution not reflected in the proposal, Implementing Partner will indicate the amount in monitoring report.
4. **Ineligible costs.**
 - debts and debt service charges (interest).
 - provisions for losses or potential future liabilities.
 - costs declared by the beneficiary(ies) and financed by another programme or by another international or domestic agency(ies).
 - purchases of land or buildings.
 - indirect taxes such as value added taxes, customs and import duties, other fiscal charges and duties.
 - currency exchange losses.
 - credit/loan to third parties.
 - salary costs of the personnel of national, regional or local administrations (public servants).

E. Performance-Based Modality and Proposal Amount

The Project will be implemented through a **Performance-Based modality**, designed to incentivize measurable impact, transparency, and accountability.

With a total proposal/application of **up to USD 300,000**, the selected Implementing Partner(s) will receive the payment by specific milestone-based on the achievement of pre-agreed performance indicators as a results-oriented implementation.

This CSO partnership will support activities focused on integrated riverine plastic waste management, to be implemented **through 31st July 2027**, aiming to mobilize multi-stakeholder collaboration, empower local actors, and strengthen sustainable waste systems at targeted sites.

F. Detail Evaluation

Evaluation Grid	Maximum Score
Section	
1. Description of Project Idea	15
1.1 How relevant is the proposed project idea to the objectives and priorities of the call? Are the expected results of the action aligned with the objective of the Call for Proposal?	5
1.2 How relevant is the proposed project idea to the needs and constraints of the target site? Has the applicant described their preliminary survey with Map of the target site?	5
1.3 How clearly defined is the overall target based on the overall design of Key Performance Indicators?	5
2. Institutional Strength and Capacity	10
2.1 Do the applicant have sufficient in-house experience of project management?	5
2.2 Do the applicant demonstrate capacity to implement the activities and the project budget under performance-based modality?	5
3. Implementation Approach	25
3.1 How coherent is the overall design of the proposed activities? Does the proposal indicate concrete results to be achieved for each of Key Performance Indicator?	5
3.2. Are the activities feasible and consistent in relation to the expected results (including timeframe)? Are the results expected (outputs, outcome and impact) realistic and measurable?	5
3.3. Does the proposal include an effective and efficient monitoring system? Is there an evaluation planned (previous, during or/and at the end of the implementation)?	5
3.4. How the applicant note the risks, both long and short term, which could impede its implementation, and how these risks will be analysed and mitigate?	5
3.5 To which extent does the proposed activities integrate relevant cross-cutting elements such as integration of youth, women and vulnerable groups, promotion of gender equality and equal opportunities, antidiscrimination, environmental among the implementation approaches?	5
4. Logical Framework	5
4.1 Does application/logframe include SMART indicators ¹ and credible sources of verification?	5

¹ Indicators are an essential part of quantifying your impact and conducting effective monitoring and evaluation. Hence, they should be: **S**pecific (accurately describe what needs to be measured), **M**easurable (regardless of who uses the indicator it would be measured in the same way), **A**chievable (collecting the data should be straightforward and cost- effective), **R**elevant (closely linked to the relevant outcome), and **T**ime-bound (there should be a timeframe linked to the indicator).

5. Innovation and the Target Scale of Change	20
5.1 Does the proposal demonstrate the innovation and creativity in proposed implementing modalities and reach out to target groups?	5
5.2 What the extend of the proposed activities to address an existing challenge in a manner that adds value and also practically implementable?	5
5.3 Does the proposed activity describe what are becoming the short- and long-term strategies, and also scale-up or exit strategy?	5
5.4 Is the action likely to have multiplier effects (replication, extension, knowledge sharing)?	5
6. Budget and Milestone for KPI	10
6.1 Are all activities reflected in the budget? Is the proposed intervention cost-effective?	5
6.2 Do all budget items correspond to concrete KPIs as described in the performance verification guideline?	5
7. Relevant Experiences	15
7.1 Does the applicant demonstrated prior experience in preventing riverine plastic pollution through interventions that promote circularity, recycling, or upstream waste reduction?	5
7.2 Does the applicant demonstrate the experience of community engagement in behavior change initiatives to address mismanaged plastic waste, particularly in informal or high-leakage settings?	5
4.3 Does the applicant demonstrate the experience with attention to mitigating environmental and social impacts of project activities, including safeguards for surrounding ecosystems, meaningful participation of informal waste actors, and gender-responsive design?	5
Maximum total score:	100