U.S. DEPARTMENT OF STATE U.S. EMBASSY JAKARTA, PUBLIC AFFAIRS SECTION Notice of Funding Opportunity (NOFO)

U.S. Embassy Jakarta PAS Annual Program Statement	
PAS-Jakarta-FY24-01	
June 30, 2024 at 11.59 p.m. WIB / UTC + 7:00	
19.040 – Public Diplomacy Programs	
\$30,000 - \$100,000	
Up to 12 months	
Anticipated Time to Award (pending availability of funds): 3 – 8 months.	

This notice of funding opportunity contains the following sections:

- A. Program Description
- **B. Federal Award Information**
- C. Eligibility Information
- **D.** Application and Submission Information
- **E. Application Review**
- F. Award Administration
- **G. Federal Awarding Agency Contacts**
- **H. Other Information**
- I. Privacy Statement

A. PROGRAM DESCRIPTION

The U.S. Embassy Jakarta Public Affairs Section (PAS) of the U.S. Department of State is pleased to announce that funding is available through its Public Diplomacy Small Grants Program. This is an Annual Program Statement, outlining our funding priorities, the strategic themes we focus on, and the procedures for submitting requests for funding. Please carefully follow all instructions below. This notice is subject to availability of funding and proposals will be reviewed as received, on a rolling basis from the opening date onward.

Purpose of Small Grants:

The U.S. Embassy's Public Affairs Section (PAS) in Jakarta invites proposals for projects that **further and support the strategic partnership between Indonesia and the U.S. through educational, cultural, informational, and media** programming that highlights shared values and promotes bilateral cooperation. All programs must include an American cultural or media-related element, or a connection with American expert/s, organization/s, or institution/s in a specific field that will promote increased understanding of U.S. policy and perspectives.

Examples of small grants projects include but are not limited to:

- Educational seminars, and expert speaker programs;
- Professional and academic exchanges and projects;
- Professional development workshops and training;
- Artistic and cultural workshops, joint performances, and exhibitions; and
- Cultural heritage conservation and preservation projects.

Priority Program Areas:

Program Themes may include, but are not limited to:

- **Strategic Partnership:** activities that promote U.S.- Indonesia strategic partnership, people to people ties, and shared values, including democratic governance, freedom of speech, freedom of the press, tolerance and diversity, the rule of law, and human rights.
- Security and Defense: activities that promote awareness of security issues and cooperation in the Indo-Pacific region; promote respect for international law including maritime law in the South China Sea; combat transnational, regional, and global challenges, including cybersecurity, terrorism, and violent extremism.
- **Prosperity, Trade, and Investment**: activities that promote economic cooperation or business development between the U.S. and Indonesia; encourage an understanding of

U.S. trade policies, exports, and investment opportunities, expand economic opportunities for women, youth, and underserved populations; and/or promote entrepreneurship and innovation in business.

- **Technology and Innovation:** activities that support technical innovation and cooperation in such areas as public health and medical fields, agricultural technology, cybersecurity, applied artificial intelligence, and space exploration; and/or programs to expand STEAM (Science, Technology, Engineering, Art, and Math) education.
- Education and Human Capacity: activities that promote educational partnerships and cooperation between U.S. and Indonesian education institutions (high school and above), leadership and soft skill development, human capital development, and educational, exchange, and training opportunities for U.S. and Indonesian students and teachers.
- Environmental Issues and Climate Crisis: activities that increase awareness of regional and global environmental challenges, climate change, and sustainable development; and/or promote innovation in support of combating climate change or supporting alternative energy sources.
- **Combating Disinformation:** activities that encourage identification of and resistance to dis/misinformation or hoaxes, knowledge of reliable sources for accurate factual information, cybersecurity awareness, and associated critical thinking skills.
- **Diversity and Inclusivity:** activities that promote the value of human diversity and inclusiveness of Indonesians in business, academia, government, and civil society. Areas of diversity include race, ethnicity, religion, gender, sexual orientation, disability, or other status.

Participants and Audiences:

The Public Affairs Section is only able to consider grants that will take place in Indonesia or online (ie, virtual programming) or a combination of in Indonesia and online (hybrid). The program should be primarily for Indonesians, though a wider array of audiences/participants may be considered. Preference is for programs that offer meaningful and repeat contact with influential Indonesians or Indonesians likely to develop into leaders in business, government, academic or civil society. Programs should promote U.S.-Indonesia relations and may include Americans. Programs may include a regional focus, looking at Indonesia in the context of ASEAN or the Indo-Pacific; and such regional programs may include participation from relevant countries.

The following types of programs are not eligible for funding:

- Programs relating to partisan political activity;
- Charitable or development activities;
- Construction programs;

- Programs that support specific religious activities;
- Fund-raising campaigns;
- Lobbying for specific legislation or programs;
- Scientific research;
- Programs intended primarily for the growth or institutional development of the organization;
- Programs that duplicate existing programs;
- Projects whose sole goal is to create or develop web-based applications or any type of publication; or
- Projects seeking funds for personal use.

Authorizing legislation, type and year of funding:

Funding authority rests in the Fulbright-Hays, and Smith-Mundt Act. The source of funding is Fiscal Year 2024 Public Diplomacy Funding.

B. FEDERAL AWARD INFORMATION

Length of performance period: Up to 12 months Number of awards anticipated: 5 – 10 awards (dependent on amounts). Award amounts: awards may range from a minimum of \$30,000 to a maximum of \$100,000. Type of Funding: Fiscal Year 2024 Public Diplomacy Funding Anticipated programs start date: Projects may begin no sooner than March 1, 2024 and no later than December 31, 2024. Application must be submitted 3 months before project start date.

This notice is subject to availability of funding.

Funding Instrument Type: Grant, Fixed Amount Award (FAAs), or Cooperative agreement. Cooperative agreements and some FAAs are different from grants in that PAS staff are more actively involved in the grant implementation ("Substantial Involvement").

Primary organizations can submit up to two (2) applications in response to the NOFO. If an applicant chooses to submit multiple applications to this NOFO, it is the responsibility of the applicant to demonstrate the competitiveness and uniqueness of each application.

The U.S. government may (a) reject any or all applications, (b) accept other than the lowest cost application, (c) accept more than one application.

The U.S. government may make award(s) on the basis of initial applications received, without discussions or negotiations. Therefore, each initial application should contain the applicant's best terms from a cost and technical standpoint. However, the U.S. government

reserves the right (though it is under no obligation to do so) to enter into discussions with one or more applicants in order to obtain clarifications, additional detail, or to suggest refinements in the project description, budget, or other aspects of an application.

Embassy Jakarta anticipates awarding either a grant or cooperative agreement depending on the needs and risk factors of the program. The final determination on the specific type of award will be made by the Embassy. The distinction between grants and cooperative agreements revolves around the existence of "substantial involvement." Cooperative agreements require greater Federal government participation in the project. If a cooperative agreement is awarded, Embassy Jakarta will undertake reasonable and programmatically necessary substantial involvement.

Examples of substantial involvement can include, but are not limited to:

- 1) Active participation or collaboration with the recipient in the implementation of the award.
- 2) Review and approval of one stage of work before another can begin.
- 3) Review and approval of substantive provisions of proposed subawards or contracts.
- 4) Approval of the recipient's budget or plan of work prior to the award.
- 5) Approval of all messaging content before it is used.

The authority for this funding opportunity is found in the Fulbright-Hays and Smith-Mundt Acts.

To maximize the impact and sustainability of the award(s) that result from this NOFO, Embassy Jakarta retains the right to execute non-competitive continuation amendment(s). The total duration of any award, including potential non-competitive continuation amendments, shall not exceed 60 months or five years. Any non-competitive continuation is contingent on performance and pending availability of funds. A non-competitive continuation is not guaranteed, and the Department of State reserves the right to exercise or not to exercise this option.

C. ELIGILIBITY INFORMATION

1. Eligible Applicants

Embassy Jakarta welcomes applications from:

- U.S.-based and Indonesia-based non-profit organizations/nongovernment organizations (NGOs);
- U.S.-based and Indonesia-based public, non-profit, private, or state institutions of higher education; and

- Public International Organizations / Public Entity
- Applications from organizations that include alumni of U.S. Government exchange programs are highly encouraged.

For-profit or commercial entities are <u>not</u> eligible to apply. Individual applicants are <u>not</u> eligible to apply.

2. Cost Sharing or Matching

Cost sharing is not required.

3. Other Eligibility Requirements

Embassy Jakarta is committed to an anti-discrimination policy in all of its projects and activities and welcomes applications irrespective of race, ethnicity, color, creed, national origin, gender, sexual orientation, gender identity, disability, or other status.

Any applicant listed on the Excluded Parties List System in the System for Award Management (SAM) (www.sam.gov) and/or has a current debt to the United States Government is not eligible to apply for an assistance award in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR,1986 Comp., p. 189) and 12689 (3 CFR,1989 Comp., p. 235), "Debarment and Suspension." Additionally, no entity or person listed on the Excluded Parties List System in SAM.gov can participate in any activities under an award. All applicants are strongly encouraged to review the Excluded Parties List System in SAM.gov to ensure that no ineligible entity or person is included in their application.

In order to be eligible to receive an award, all organizations must have a Unique Entity Identifier (UEI) number issued via <u>www.SAM.gov</u> as well as a valid registration on www.SAM.gov. Please see Section D.4 for more information.

D. APPLICATION AND SUBMISSION INFORMATION

1. Address to Request Application Package

Application forms required below are available at <u>https://www.grants.gov/forms/forms-repository/sf-424-family</u>

2. Content and Form of Application Submission

<u>Please follow all instructions below carefully</u>. Proposals that do not meet the requirements of this announcement or fail to comply with the stated requirements will be ineligible.

Content of Application

Please ensure:

- The proposal clearly addresses the goals and objectives of this funding opportunity.
- All documents are in English.
- All budgets are in U.S. dollars.
- All pages are numbered.
- All documents are formatted to 8 ½ x 11 paper, and
- All Microsoft Word documents are single-spaced, 12-point Calibri font, with a minimum of 1-inch margins.

The following documents are **required**:

a. Mandatory application forms

- SF-424 (Application for Federal Assistance organizations)
- SF-424A (Budget Information for Non-Construction programs)
- SF-424B (Assurances for Non-Construction programs)
- **b. Summary Coversheet:** Cover sheet stating the applicant's name and organization, proposal date, program title, program period proposed start and end date, and brief purpose of the program.
- **c. Proposal (three pages maximum):** The proposal should contain sufficient information that anyone not familiar with it would understand exactly what the applicant wants to do. You may use your own proposal format, but it must include all the items below.
 - **Proposal Summary:** Short narrative that outlines the proposed program, including program objectives and anticipated impact.
 - Introduction to the Organization or Individual applying: A description of past and present operations, showing ability to carry out the program, including information on all previous grants from the U.S. Embassy and/or U.S. government agencies.
 - **Problem Statement:** Clear, concise, and well-supported statement of the problem to be addressed and why the proposed program is needed.
 - Program Goals and Objectives: The "goals" describe what the program is intended to achieve. What aspect of the relationship between the U.S. and Indonesia will be improved? The "objectives" refer to the intermediate accomplishments on the way to the goals. These should be achievable and measurable.

- **Program Activities**: Describe the program activities and how they will help achieve the objectives.
- **Program Methods and Design**: A description of how the program is expected to work to solve the stated problem and achieve the goal.
- **Proposed Program Schedule:** The proposed timeline for the program activities. Include the dates, times, and locations of planned activities and events.
- **Key Personnel:** Names, titles, roles, and experience/qualifications of key personnel involved in the program. What proportion of their time will be used in support of this program?
- **Program Partners:** List the names and type of involvement of key partner organizations and sub-awardees.
- **Program Monitoring and Evaluation Plan:** This is an important part of successful grants. Throughout the time-frame of the grant, how will the activities be monitored to ensure they are happening in a timely manner, and how will the program be evaluated to make sure it is meeting the goals of the grant?
- **Future Funding or Sustainability** Applicant's plan for continuing the program beyond the grant period, or the availability of other resources, if applicable.

d. Budget Justification Narrative: After filling out the SF-424A Budget (above), use a separate file to describe each of the budget expenses in detail (preferably as an Excel Workbook). See section *H. Other Information: Guidelines for Budget Submissions* below for further information.

e. Your organization's most recent audit, if applicable. This should be a single audit, program-specific audit, or other audit in accordance with Generally Accepted Government Auditing Standards (GAGAS).

f. Attachments (Optional):

- 1-page CV or resume of key personnel who are proposed for the program
- Letters of support from program partners describing the roles and responsibilities of each partner
- Official permission letters, if required for program activities

Applications that do not include the elements listed above, as mandatory, will be deemed technically ineligible.

Embassy Jakarta reserves the right to request additional documents not included in this NOFO.

Additionally, to ensure that all applications receive a balanced evaluation, Embassy Jakarta's Merit Review Panel will review from the first page up to the page limit and no further.

Note: If ultimately provided with a notification of non-binding intent to make a Federal award, applicants typically have two to three weeks to provide additional information and documents requested in the notification of intent. The deadlines may vary in each notification of intent and applicants must adhere to the stated deadline in the notification of intent.

3. Additional Information Requested For Those Receiving Notification of Intent

Successful applicants must submit after notification of intent to make a Federal award, but prior to issuance of a Federal award:

- Written responses and revised application documents addressing conditions and recommendations from Embassy Jakarta's Review Panel;
- If your organization has a NICRA and includes NICRA charges in the budget, your latest NICRA as a PDF file;
- Completion of the Department's Financial Management Survey, if receiving Embassy Jakarta funding for the first time; (OPTIONAL)
- Submission of direct deposit form to allow grant payments to be processed.
- 4. Unique Entity Identifier and System for Award Management (SAM.gov)

Required Registrations:

All organizations, whether based in the United States or in another country, must have a Unique Entity Identifier (UEI) and an active registration with the SAM.gov. A UEI is one of the data elements mandated by Public Law 109-282, the Federal Funding Accountability and Transparency Act (FFATA), for all Federal awards.

Note: As of April 2022, a DUNS number is no longer required for federal assistance applications.

The 2 CFR 200 requires that sub-grantees obtain a UEI number. Please note the UEI for subgrantees is not required at the time of application but will be required before an award is processed and/or directed to a sub-grantee.

Note: The process of obtaining or renewing a SAM.gov registration may take anywhere from 4-8 weeks. <u>Please begin your registration as early as possible</u>.

• Organizations **based in the United States** or that pay employees within the United States will need an Employer Identification Number (EIN) from the Internal Revenue Service (IRS), and a UEI number prior to registering in SAM.gov.

- Organizations **based outside of the United States** and that do not pay employees within the United States do not need an EIN from the IRS but do need a UEI number prior to registering in SAM.gov.
- Please note that as of November 2022 and February 2022 respectively, organizations based outside of the United States that do not intend to apply for U.S. Department of Defense (DoD) awards are no longer required to have a NATO Commercial and Government Entity (NCAGE) code or CAGE code to apply for non-DoD foreign assistance funding opportunities. If an applicant organization is midregistration and wishes to remove a CAGE or NCAGE code from their SAM.gov registration, the applicant should submit a help desk ticket ("incident") with the Federal Service Desk (FSD) online at www.fsd.gov using the following language: "I do not intend to seek financial assistance from the Department of Defense. I do not wish to obtain a CAGE or NCAGE code. I understand that I will need to submit my registration after this incident is resolved in order to have my registration activated."

Organizations based outside of the United States and that DO NOT plan to do business with the DoD should follow the below instructions:

Step 1: Proceed to SAM.gov to obtain a UEI and complete the SAM.gov registration process. SAM.gov registration must be renewed annually.

Organizations based outside of the United States and that <u>DO</u> plan to do business with the DoD in addition to Department of State should follow the below instructions:

Step 1: Apply for an NCAGE code by following the instructions on the NSPA NATO website linked below:

NCAGE Homepage: https://eportal.nspa.nato.int/AC135Public/sc/CageList.aspx NCAGE Code Request Tool (NCRT):

Exemptions

An exemption from the UEI and sam.gov registration requirements may be permitted on a case-by-case basis if:

- An applicant's identity must be protected due to potential endangerment of their mission, their organization's status, their employees, or individuals being served by the applicant.
- For an applicant, if the Federal awarding agency makes a determination that there are exigent circumstances that prohibit the applicant from receiving a unique entity identifier and completing SAM registration prior to receiving a Federal award. In these instances, Federal awarding agencies must require the recipient to obtain a unique entity identifier and complete SAM registration within 30 days of the Federal award date.

Organizations requesting exemption from UEI or SAM.gov requirements must email the point of contact listed in the NOFO at least two weeks prior to the deadline in the NOFO providing a justification of their request. Approval for a SAM.gov exemption must come from the warranted Grants Officer before the application can be deemed eligible for review.

5. Submission Dates and Times

The Public Affairs Section will accept proposals on a rolling basis between November 28, 2023 and no later than June 30, 2024 at 11.59 p.m. (WIB) and will review proposals according to the following schedule:

- Proposals received between November 28, 2023 and February 29, 2024 will be reviewed in March 2023
- Proposals received between March 1, 2024 and April 30, 2024 will be reviewed in May 2023
- Proposals received between May 1, 2024 and June 30, 2024 will be reviewed in June 2024

No applications will be accepted after June 30, 2024, at 11.59 p.m. (WIB).

6. Funding Restrictions

Designated terrorist organizations: Embassy Jakarta will not consider applications that reflect any type of support for any member, affiliate, or representative of a designated terrorist organization. Please refer to the link for Foreign Terrorist Organizations: https://www.state.gov/j/ct/rls/other/des/123085.htm

Foreign military or paramilitary groups or individuals: Project activities whose direct beneficiaries are foreign militaries or paramilitary groups or individuals will not be considered for funding given purpose limitations on funding.

Pre-award costs: Federal awards generally will not allow reimbursement of pre-award costs; however, the Grants Officer may approve pre-award costs on a case-by-case basis.

Construction: Generally, construction costs are not allowed under federal assistance awards.

7. Other Submission Requirements

All application materials must be submitted by email to <u>JakartaPASGrants@state.gov</u>

E. APPLICATION REVIEW INFORMATION

1. Criteria

Each application will be evaluated and rated on the basis of the evaluation criteria outlined below. The criteria listed are closely related and are considered as a whole in judging the overall quality of an application.

Organizational capacity and record on previous grants: The organization has expertise in its stated field and PAS is confident of its ability to undertake the program. This includes a financial management system and a bank account.

Quality and Feasibility of the Program Idea – The program idea is well developed, with detail about how program activities will be carried out. The proposal includes a reasonable implementation timeline.

Goals and objectives: Goals and objectives are clearly stated and program approach is likely to provide maximum impact in achieving the proposed results.

Embassy priorities: Applicant has clearly described how stated goals are related to and support U.S. Embassy Jakarta's priority areas or target audiences.

Budget: The budget justification is detailed. Costs are reasonable in relation to the proposed activities and anticipated results. The budget is realistic, accounting for all necessary expenses to achieve proposed activities.

Monitoring and evaluation plan: Applicant demonstrates it is able to measure program success against key indicators and provide milestones to indicate progress toward goals outlined in the proposal. The program includes output and outcome indicators, and shows how and when those will be measured.

Sustainability: Program activities will continue to have positive impact after the end of the program.

2. Review and Selection Process

A Grants Review Committee will evaluate all eligible applications.

3. Responsibility/Qualification Information in SAM.gov (formerly, FAPIIS)

For any Federal award under a notice of funding opportunity, if the Federal awarding agency anticipates that the total Federal share will be greater than the simplified acquisition threshold over the period of performance (see §200.01 Simplified Acquisition Threshold), this section must also inform applicants that:

i. The Federal awarding agency, prior to making a Federal award with a total amount of Federal share greater than the simplified acquisition threshold, is required to review and consider any information about the applicant that is in the U.S. government designated integrity and performance system accessible through SAM.gov (see 41 U.S.C. 2313);

ii. An applicant, at its option, may review and comment on any information about itself in the designated integrity and performance systems accessible through SAM that a Federal awarding agency previously entered;

iii. The Federal awarding agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in §200.205 Federal awarding agency review of risk posed by applicants.

4. Anticipated Announcement and Federal Award Dates

Applications will be received and evaluated on a "rolling" basis beginning November 2023 until the deadline for applications on June 30, 2024 at 11.59 pm (WIB). Unsuccessful applicants will be notified no later than <u>October 2024</u>. Applicants should submit their applications at least three months prior to the proposed project's start date. Please note we do not accept ongoing projects.

F. FEDERAL AWARD ADMINISTRATION INFORMATION

1. Federal Award Notices

The grant award or cooperative agreement will be written, signed, awarded, and administered by the Grants Officer. The assistance award agreement is the authorizing document and it will be provided to the recipient for review and signature by email. The recipient may only start incurring program expenses beginning on the start date shown on the grant award document signed by the Grants Officer.

If a proposal is selected for funding, the Department of State has no obligation to provide any additional future funding. Renewal of an award to increase funding or extend the period of performance is at the discretion of the Department of State. Issuance of this NOFO does not constitute an award commitment on the part of the U.S. government, nor does it commit the U.S. government to pay for costs incurred in the preparation and submission of proposals. Further, the U.S. government reserves the right to reject any or all proposals received.

Payment Method: Payments will be made in at least two installments, as needed to carry out the program activities.

Organizations whose applications will not be funded will also be notified via email no later than October 2024.

2. Administrative and National Policy Requirements

Terms and Conditions: Before submitting an application, applicants should review all the terms and conditions and required certifications which will apply to this award, to ensure that they will be able to comply. These include:

<u>2 CFR 200</u>, <u>2 CFR 600</u>, Certifications and Assurances, and the Department of State Standard Terms and Conditions, all of which are available at: <u>https://www.state.gov/about-us-office-of-the-procurement-executive/</u>. Note the U.S Flag branding and marking requirements in the Standard Terms and Conditions.

3. Reporting

Reporting Requirements: Recipients will be required to submit financial reports and program reports. The award document will specify how often these reports must be submitted.

G. FEDERAL AWARDING AGENCY CONTACTS

If you have any questions about the grant application process, please contact PAS at: <u>JakartaPASGrants@state.gov</u>

Note: We do not provide any pre-consultation for application related questions that are addressed in the NOFO. Once an application has been submitted, State Department officials and staff — both in the Department and at embassies overseas — may not discuss this competition with applicants until the entire proposal review process is completed.

H. OTHER INFORMATION

Guidelines for Budget Justification

Personnel: Describe the wages, salaries, and benefits of temporary or permanent staff who will be working directly for the applicant on the program, and the percentage of their time that will be spent on the program.

Travel: Estimate the costs of travel and per diem for this program. If the program involves international travel, include a brief statement of justification for that travel.

Equipment: Describe any machinery, furniture, or other personal property that is required for the program, which has a useful life of more than one year (or a life longer than the duration of the program), and costs at least \$5,000 per unit.

Supplies: List and describe all the items and materials, including any computer devices, that are needed for the program. If an item costs more than \$5,000 per unit, then put it in the budget under Equipment.

Contractual: Describe goods and services that the applicant plans to acquire through a contract with a vendor. Also describe any sub-awards to non-profit partners that will help carry out the program activities.

Other Direct Costs: Describe other costs directly associated with the program, which do not fit in the other categories. For example, shipping costs for materials and equipment or applicable taxes. All "Other" or "Miscellaneous" expenses must be itemized and explained.

Indirect Costs: These are costs that cannot be linked directly to the program activities, such as overhead costs needed to help keep the organization operating. If your organization has a Negotiated Indirect Cost Rate (NICRA) and includes NICRA charges in the budget, attach a copy of your latest NICRA. Organizations that have never had a NICRA may request indirect costs of 10% of the modified total direct costs as defined in 2 CFR 200.68.

"Cost Sharing" refers to contributions from the organization or other entities other than the U.S. Embassy. It also includes in-kind contributions such as volunteers' time and donated venues.

Alcoholic Beverages: Please note that award funds cannot be used for alcoholic beverages.

I. Privacy Statement

Applicants should be aware that U.S. Embassy Jakarta understands that some information contained in applications may be considered sensitive or proprietary and will make appropriate efforts to protect such information. However, applicants are advised that U.S. Embassy Jakarta

cannot guarantee that such information will not be disclosed, including pursuant to the Freedom of Information Act (FOIA) or other similar statutes.